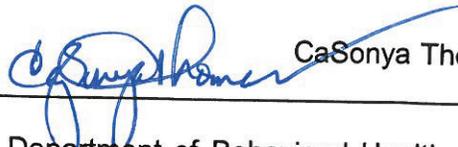


**County of San Bernardino  
Department of Behavioral Health**

**Expenditure of the Substance Abuse Prevention and  
Treatment Block Grant Policy**

**Effective Date** 05/29/12  
**Approval Date** 04/22/13

  
CaSonya Thomas, Director

**Policy** It is the policy of the Department of Behavioral Health (DBH), Alcohol and Drug Services (ADS), to ensure compliance with the terms of the Substance Use Disorder ADP Contract and the Substance Abuse Prevention and Treatment (SAPT) Block Grant (BG) guidelines. The SAPT BG funds are authorized annually by Congress and are subject to change.

**Purpose** To ensure DBH-ADS County and contract providers are adhering to State and Federal laws, statutes, regulations and Substance Use Disorder ADP Contract guidelines.

**SAPT-BG Prohibitions** DBH-ADS Substance Abuse Coordinators will perform quarterly quality assurance reviews of all County and DBH-ADS contracted treatment providers to ensure compliance with SAPT-BG funding requirements. Expenditures of the SAPT-BG are prohibited when:

- Providing inpatient hospital services, (except as provided in paragraph (c) of section 45 CFR 96.135)
- Making cash payments to intended recipients of health services
- Purchasing or improving land, building or permanently improving (other than minor remodeling) any building or other facility, or purchasing major medical equipment
- Satisfying any requirement for the expenditure of non-Federal funds as a condition for the receipt of Federal Funds
- Providing financial assistance to any entity other than a public or nonprofit private entity
- Providing individuals with hypodermic needles or syringes to aid in the illegal use of drugs; unless the Surgeon General of the Public Health Service determines that a demonstration needle exchange program would be effective in reducing drug abuse and the risk that the public will become infected with the etiologic agent for Acquired Immune Deficiency Syndrome (AIDS)
- Providing treatment services in a penal or correctional institution of the State

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**County of San Bernardino  
Department of Behavioral Health**

**Expenditure of the Substance Abuse Prevention and  
Treatment Block Grant Policy, Continued**

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**Exceptions to  
Prohibiting  
Inpatient  
Hospital  
Services**

With respect to compliance with the agreement made under paragraph (a) of section 45 CFR 96.135, a State (acting through the Director of the principal agency) **may** expend a grant for inpatient hospital-based substance abuse programs subject to the limitations of paragraph (c) (2) of said section only when it has been determined by a physician that the:

- Primary diagnosis of the individual is substance abuse, and the physician certifies this fact
  - Individual cannot be safely treated in a community-based, non-hospital, residential treatment program
  - Service can reasonably be expected to improve an individual's condition or level of functioning
  - Hospital-based substance abuse program follows national standards of substance abuse professional practice
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**References**

Code of Federal Regulations, Title 45, Section 96.135

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